Craig Thomas Forbes

Alpha Omega Wealth Management, LLC

ADV Part 2B, Brochure Supplement Dated: July 1, 2019

Contact: LeAnn Mitchell, Chief Compliance Officer 7202 Glen Forest Drive, Suite 300 Richmond, Virginia 23226

B.

This Brochure Supplement provides information about Craig Thomas Forbes that supplements the Alpha Omega Wealth Management, LLC Brochure; you should have received a copy of that Brochure. Please contact LeAnn Mitchell, Chief Compliance Officer, if you did *not* receive Alpha Omega Wealth Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Craig Thomas Forbes is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Craig Thomas Forbes was born in 1955. Mr. Forbes graduated from Virginia Military Institute in 1977 with a Bachelor of Arts degree in French. Mr. Forbes graduated in 1979 from College of William and Mary with a Master of Business Administration degree. Mr. Forbes completed the U.S. Army Infantry Officer Basic School in 1980, the Advanced Course in 1984 and attended the U.S. Army Command and General Staff College in 1990. Mr. Forbes has been Co-Principal of Alpha Omega Wealth Management, LLC since May of 2009. Mr. Forbes was employed as a financial representative of Suntrust Investment Services from June 2005 to May 2009.

Mr. Forbes has held the designation of Certified Investment Management Analyst (CIMA®) since 2002. The CIMA® certification signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for investment management consulting, including advanced investment management theory and application. Prerequisites for the CIMA® certification are three years of financial services experience and an acceptable

regulatory history. To obtain the CIMA® certification, candidates must pass an online Qualification Examination, successfully complete a one-week classroom education program provided by a Registered Education Provider at an AACSB accredited university business school, and pass an online Certification Examination. CIMA® designees are required to adhere to IMCA's Code of Professional Responsibility, Standards of Practice, and Rules and Guidelines for Use of the Marks. CIMA® designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. The designation is administered through Investment Management Consultants Association (IMCA®).

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

None.

Item 6 Supervision

A.

LeAnn Mitchell

Alpha Omega Wealth Management, LLC

ADV Part 2B, Brochure Supplement Dated: July 1, 2019

Contact: LeAnn Mitchell, Chief Compliance Officer 7202 Glen Forest Drive, Suite 300 Richmond, Virginia 23226

B.

This Brochure Supplement provides information about LeAnn Mitchell that supplements the Alpha Omega Wealth Management, LLC Brochure; you should have received a copy of that Brochure. Please contact LeAnn Mitchell, Chief Compliance Officer, if you did *not* receive Alpha Omega Wealth Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about LeAnn Mitchell is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

LeAnn Mitchell was born in 1968. Ms. Mitchell graduated from Bentley College in 1995 with a Bachelor of Science degree in Finance. Ms. Mitchell earned the designation of Chartered Retirement Planning Counselor from the College for Financial Planning in 2008. Ms. Mitchell has been the Chief Compliance Officer with Alpha Omega Wealth Management, LLC since May of 2009. Ms. Mitchell was employed as an Investment Associate with Suntrust Investment Services, Inc. from June of 2005 to May of 2009.

Ms. Mitchell has held the designation of Chartered Retirement Planning Counselor (CRPC[®]) since 2008. The College of Financial Planning® awards the CRPC[®] designation to applicants who complete the CRPC[®] professional education program, pass a final examination, commit to a code of ethics and agree to pursue continuing education. Continued use of the CRPC[®] designation is subject to ongoing renewal requirements. Every two (2) years the designee must

renew their right to continue using the CRPC[®] designation by completing 16 hours of continuing education and reaffirming to abide by the Standards of Professional Conduct.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

Ms. Mitchell's annual compensation is based, in part, on the amount of assets under management that Ms. Mitchell introduces to the Registrant. Accordingly, Ms. Mitchell has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

Christopher Lee Milligan

Alpha Omega Wealth Management, LLC

ADV Part 2B, Brochure Supplement Dated: July 1, 2019

Contact: LeAnn Mitchell, Chief Compliance Officer 7202 Glen Forest Drive, Suite 300 Richmond, Virginia 23226

B.

This Brochure Supplement provides information about Christopher Lee Milligan that supplements the Alpha Omega Wealth Management, LLC Brochure; you should have received a copy of that Brochure. Please contact LeAnn Mitchell, Chief Compliance Officer, if you did *not* receive Alpha Omega Wealth Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Christopher Lee Milligan is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Christopher Lee Milligan was born in 1988. Mr. Milligan graduated from the Virginia Military Institute in May 2011 with a Bachelor of Arts degree in Economics and Business with a concentration in Financial Management. While at the Virginia Military Institute, he served on the Cadet Investment Group from September 2010 until graduation. Mr. Milligan also did two summer internships (2009 and 2010) at the London Company Investment Counsel in Richmond, VA. Mr. Milligan has been employed as a Research Analyst with Alpha Omega Wealth Management, LLC since July of 2011 and was a student prior to this employment with Alpha Omega Wealth Management, LLC.

Mr. Milligan has been a CFA® Charter Holder since 2018. CFA® designates an international professional certificate that is offered by the CFA Institute.

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 154,000 CFA charter holders working in 165 countries. To earn the CFA charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charter holders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA charterholders—often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Item 3 Disciplinary Information

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

None.

Item 6 Supervision

Carl Garrett Lind

Alpha Omega Wealth Management, LLC

ADV Part 2B, Brochure Supplement Dated: July 1, 2019

Contact: LeAnn Mitchell, Chief Compliance Officer 7202 Glen Forest Drive, Suite 300 Richmond, Virginia 23226

B.

This Brochure Supplement provides information about Carl Garrett Lind that supplements the Alpha Omega Wealth Management, LLC Brochure; you should have received a copy of that Brochure. Please contact LeAnn Mitchell, Chief Compliance Officer, if you did *not* receive Alpha Omega Wealth Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Carl Garrett Lind is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Carl Garrett Lind was born in 1952. Mr. Lind graduated from Virginia Tech in 1974 with a Bachelor of Arts degree in History. Mr. Lind has been employed as a Senior Investment Manager with Alpha Omega Wealth Management, LLC since November of 2016. From May 1997 through November 2016, Mr. Lind was a Principal of Diveley Lind & Associates.

Mr. Lind has been a CERTIFIED FINANCIAL PLANNERTM since 1990. The CERTIFIED FINANCIAL PLANNERTM, CFP® and federally registered CFP (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP[®] certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP[®] certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of

conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 83,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must currently satisfactorily fulfill the following requirements:

- Education Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP[®] professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP[®] professionals provide financial planning services at a fiduciary standard of care. This means CFP[®] professionals must provide financial planning services in the best interests of their clients.

CFP[®] professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP[®] certification.

Item 3 Disciplinary Information

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

Mr. Lind's annual compensation is based, in part, on the amount of assets under management that Mr. Lind introduces to the Registrant. Accordingly, Mr. Lind has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

Holly Marie Ruff

Alpha Omega Wealth Management, LLC

ADV Part 2B, Brochure Supplement Dated: July 1, 2019

Contact: LeAnn Mitchell, Chief Compliance Officer 7202 Glen Forest Drive, Suite 300 Richmond, Virginia 23226

B.

This Brochure Supplement provides information about Holly Marie Ruff that supplements the Alpha Omega Wealth Management, LLC Brochure; you should have received a copy of that Brochure. Please contact LeAnn Mitchell, Chief Compliance Officer, if you did *not* receive Alpha Omega Wealth Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Holly Marie Ruff is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Holly Marie Ruff was born in 1977. Ms. Ruff graduated from the University of Virginia in 1999 with a Bachelor of Arts degree in Mathematics and Economics. Ms. Ruff has been employed as a Financial Advisor and Research Analyst with Alpha Omega Wealth Management, LLC since November of 2016. From March 2005 through November 2016, Ms. Ruff was an investment advisor representative of Diveley Lind & Associates.

Ms. Ruff has been a CFA^{\otimes} Charter Holder since 2004. CFA^{\otimes} designates an international professional certificate that is offered by the CFA Institute.

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 154,000 CFA charter holders working in 165 countries. To earn the CFA charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charter holders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA charterholders—often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

Item 3 Disciplinary Information

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

Ms. Ruff's annual compensation is based, in part, on the amount of assets under management that Ms. Ruff introduces to the Registrant. Accordingly, Ms. Ruff has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

Lynn M. Diveley

Alpha Omega Wealth Management, LLC

ADV Part 2B, Brochure Supplement Dated: July 1, 2019

Contact: LeAnn Mitchell, Chief Compliance Officer 7202 Glen Forest Drive, Suite 300 Richmond, Virginia 23226

B.

This Brochure Supplement provides information about Lynn M. Diveley that supplements the Alpha Omega Wealth Management, LLC Brochure; you should have received a copy of that Brochure. Please contact LeAnn Mitchell, Chief Compliance Officer, if you did *not* receive Alpha Omega Wealth Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Lynn M. Diveley is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Lynn M. Diveley was born in 1958. Ms. Diveley did not receive a post-secondary degree, however, she obtained her CFP designation from Denver College for Financial Planning. Ms. Diveley has been employed as a Senior Financial Advisor with Alpha Omega Wealth Management, LLC since November of 2016. From May 1997 through November 2016, Ms. Diveley was a Principal of Diveley Lind & Associates.

Ms. Diveley has been a CERTIFIED FINANCIAL PLANNER™ since 1988. The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP[®] certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP[®] certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 83,000 individuals have obtained CFP[®] certification in the United States.

To attain the right to use the CFP® marks, an individual must currently satisfactorily fulfill the following requirements:

- Education Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP[®] professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP[®] professionals provide financial planning services at a fiduciary standard of care. This means CFP[®] professionals must provide financial planning services in the best interests of their clients.

CFP[®] professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP[®] certification.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

None.

Item 6 Supervision

Cleighton L. Hilbert, Jr.

Alpha Omega Wealth Management, LLC

ADV Part 2B, Brochure Supplement Dated: July 1, 2019

Contact: LeAnn Mitchell, Chief Compliance Officer 7202 Glen Forest Drive, Suite 300 Richmond, Virginia 23226

B.

This Brochure Supplement provides information about Cleighton L. Hilbert, Jr. that supplements the Alpha Omega Wealth Management, LLC Brochure; you should have received a copy of that Brochure. Please contact LeAnn Mitchell, Chief Compliance Officer, if you did *not* receive Alpha Omega Wealth Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Cleighton L. Hilbert, Jr. is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Cleighton L. Hilbert, Jr. was born in 1973. Mr. Hilbert graduated from Wake Forest University in 1996 with a Bachelor of Arts degree in Psychology and from Duke University, Fuqua School of Business in 2003 with a Master of Business Administration degree. Mr. Hilbert has been Chief Strategy Officer and Executive Vice President with Alpha Omega Wealth Management, LLC since February 2018. From August 1996 through February 2018, Mr. Hilbert was a Senior Vice President and Director with Davenport & Company LLC.

Mr. Hilbert has held the designation of Certified Investment Management Analyst (CIMA®) since 2019. The CIMA® certification signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for investment management consulting, including advanced investment management theory and application. Prerequisites for the CIMA® certification are three years of financial services experience and an acceptable regulatory history. To obtain the CIMA® certification, candidates must pass an online

Qualification Examination, successfully complete a one-week classroom education program provided by a Registered Education Provider at an AACSB accredited university business school, and pass an online Certification Examination. CIMA® designees are required to adhere to IMCA's Code of Professional Responsibility, Standards of Practice, and Rules and Guidelines for Use of the Marks. CIMA® designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. The designation is administered through Investment Management Consultants Association (IMCA®).

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

Mr. Hilbert's annual compensation is based, in part, on the amount of assets under management that Mr. Hilbert introduces to the Registrant. Accordingly, Mr. Hilbert has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

A.

Eric Fitzgerald

Alpha Omega Wealth Management, LLC

ADV Part 2B, Brochure Supplement Dated: July 1, 2019

Contact: LeAnn Mitchell, Chief Compliance Officer 7202 Glen Forest Drive, Suite 300 Richmond, Virginia 23226

B.

This Brochure Supplement provides information about Eric Fitzgerald that supplements the Alpha Omega Wealth Management, LLC Brochure; you should have received a copy of that Brochure. Please contact LeAnn Mitchell, Chief Compliance Officer, if you did *not* receive Alpha Omega Wealth Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Eric Fitzgerald is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Eric Fitzgerald was born in 1988. Mr. Fitzgerald graduated from Carson-Newman University in 2010 with a Bachelor of Science degree in Healthcare Administration. Mr. Fitzgerald has been a Financial Advisor with Alpha Omega Wealth Management, LLC since February 2018. From July 2016 through January 2018, Mr. Fitzgerald was an Investment Advisor Representative with Tennessee Valley Asset Management Partners and an Administrative Assistant with LPL Financial. From May 2014 through June 2016, Mr. Fitzgerald was a Paraplanner with Wealth Management Corporation. From July 2010 through May 2014, Mr. Fitzgerald was a Client Service Manager with Day & Company PC.

Mr. Fitzgerald is a CERTIFIED FINANCIAL PLANNERTM. The CERTIFIED FINANCIAL PLANNERTM, CFP® and federally registered CFP (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP[®] certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP[®] certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 83,000 individuals have obtained CFP[®] certification in the United States.

To attain the right to use the CFP® marks, an individual must currently satisfactorily fulfill the following requirements:

- Education Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must currently complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP[®] marks:

- Continuing Education Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP[®] professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP[®] certification.

Item 3 Disciplinary Information

None.

Item 4 Other Business Activities

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

Mr. Fitzgerald's annual compensation is based, in part, on the amount of assets under management that Mr. Fitzgerald introduces to the Registrant. Accordingly, Mr. Fitzgerald has a conflict of interest for recommending the Registrant to clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Item 6 Supervision

George Everett Bond Reveley

Alpha Omega Wealth Management, LLC

ADV Part 2B, Brochure Supplement Dated: July 1, 2019

Contact: LeAnn Mitchell, Chief Compliance Officer 7202 Glen Forest Drive, Suite 300 Richmond, Virginia 23226

B.

This Brochure Supplement provides information about George Everett Bond Reveley that supplements the Alpha Omega Wealth Management, LLC Brochure; you should have received a copy of that Brochure. Please contact LeAnn Mitchell, Chief Compliance Officer, if you did *not* receive Alpha Omega Wealth Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about George Everett Bond Reveley is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

George Everett Bond Reveley was born in 1978. Mr. Reveley graduated from Princeton University in 2000 with a Bachelor of Arts degree in Economics, from Virginia Commonwealth University in 2001 with a Master of Arts degree in Economics and from the University of Virginia in 2006 with a Master of Business Administration degree. Mr. Reveley has been a Senior Financial Advisor with Alpha Omega Wealth Management, LLC since April 2018. From August 2011 through April 2018, Mr. Reveley was a Vice President with Davenport & Company LLC.

Mr. Reveley has been a CERTIFIED FINANCIAL PLANNERTM since 2016. The CERTIFIED FINANCIAL PLANNERTM, CFP® and federally registered CFP (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP[®] certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP[®] certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 83,000 individuals have obtained CFP[®] certification in the United States.

To attain the right to use the CFP® marks, an individual must currently satisfactorily fulfill the following requirements:

- Education Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination Pass the comprehensive CFP® Certification Examination. The examination, administered in 6 hours, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP[®] professionals.

Individuals who become certified must currently complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP[®] marks:

- Continuing Education Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP[®] professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP[®] certification.

Mr. Reveley became an ACCREDITED INVESTMENT FIDUCIARY® (AIF®) in 2016. The AIF Designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF Designation, the individual must meet prerequisite criteria based on a combination of education, relevant industry experience, and/or ongoing professional development, complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the Code of Ethics and Conduct Standards. In order to maintain the AIF Designation, the individual must annually attest to the Code of Ethics and Conduct Standards, and accrue and report a minimum of six hours of continuing education. The Designation is administered by the Center for Fiduciary Studies, the standards-setting body of fi360.

Mr. Reveley has held the Certified Regulatory and Compliance ProfessionalTM (CRCPTM) certification since 2014. The FINRA[®] Institute at Wharton Certified Regulatory and Compliance ProfessionalTM (CRCPTM) program provides a course of instruction on the foundation, theory and practical application of securities laws and regulations. The CRCP program draws on the expertise of Wharton faculty, senior regulators and industry practitioners, and brings together a diverse group of participants from securities firms, the legal profession, FINRA, the Securities and Exchange Commission and other regulators to provide a unique learning experience for participants.

Developed by FINRA and the Wharton School, the CRCP program is a program designed specifically for securities industry regulatory and compliance professionals. Participants who successfully complete the program are designated Certified Regulatory and Compliance Professionals by the FINRA Institute at Wharton.

Although coursework must be completed within two consecutive calendar years, completion in the same calendar year is recommended.

Candidates are assigned materials to review in advance of Wharton coursework—including academic research conducted by Wharton faculty—as well as rules, regulations, case law and compliance and regulatory guidance.

On the final day of the Week I and Week II courses, participants must complete a written assessment. Participants who pass both assessments are awarded the CRCP designation.

Program graduates are required to complete twelve hours of continuing education every three years as of their certificate anniversary award date. The continuing education requirement helps ensure that graduates remain current on compliance topics, rules and regulations, and emerging issues in the financial services industry.

Item 3 Disciplinary Information

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

None.

Item 6 Supervision

Item 1 Cover Page

Α.

Charles Clement Teden

Alpha Omega Wealth Management, LLC

ADV Part 2B, Brochure Supplement Dated: July 1, 2019

Contact: LeAnn Mitchell, Chief Compliance Officer 7202 Glen Forest Drive, Suite 300 Richmond, Virginia 23226

B.

This Brochure Supplement provides information about Charles Clement Teden that supplements the Alpha Omega Wealth Management, LLC Brochure; you should have received a copy of that Brochure. Please contact LeAnn Mitchell, Chief Compliance Officer, if you did *not* receive Alpha Omega Wealth Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about Charles Clement Teden is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

Charles Clement Teden was born in 1984. Mr. Teden graduated from Hampden-Sydney College in 2007 with a Bachelor of Science degree in Business Administration and Economics. Mr. Teden has been a Financial Advisor with Alpha Omega Wealth Management, LLC since April 2018. From March 2008 through April 2018, Mr. Teden was a Marketing Associate with Davenport & Company LLC.

Item 3 Disciplinary Information

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

None.

Item 6 Supervision

Item 1 Cover Page

Α.

William Martin Noftsinger Jr.

Alpha Omega Wealth Management, LLC

ADV Part 2B, Brochure Supplement Dated: July 1, 2019

Contact: LeAnn Mitchell, Chief Compliance Officer 7202 Glen Forest Drive, Suite 300 Richmond, Virginia 23226

B.

This Brochure Supplement provides information about William Martin Noftsinger Jr. that supplements the Alpha Omega Wealth Management, LLC Brochure; you should have received a copy of that Brochure. Please contact LeAnn Mitchell, Chief Compliance Officer, if you did *not* receive Alpha Omega Wealth Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about William Martin Noftsinger Jr. is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 Education Background and Business Experience

William Martin Noftsinger Jr. was born in 1951. Mr. Noftsinger graduated from Campbell University in 1973 with a Bachelor of Science degree in History and from the University of Richmond in 1981 with a Master of Business Administration degree. Mr. Noftsinger has been a Senior Investment Manager with Alpha Omega Wealth Management, LLC since June 2019. From September 1987 through June 2019, Mr. Noftsinger was a Senior Vice President Managing Director with Davenport & Company LLC.

Item 3 Disciplinary Information

- A. The supervised person is not actively engaged in any other investment-related businesses or occupations.
- B. The supervised person is not actively engaged in any non-investment-related business or occupation for compensation.

Item 5 Additional Compensation

None.

Item 6 Supervision